

A S O 9 3 - 7 9 4 6

S.E.C. Registration Number

A L L I A N C E G L O B A L  
G R O U P , I N C .

(Company's Full Name)

7 / F 1 8 8 0 E A S T W O O D A V E N U E  
E A S T W O O D C I T Y C Y B E R P A R K  
B A G U N B A Y A N Q U E Z O N C I T Y

(Business Address: No. Street City/ Town/ Province)

**DINA D.R. INTING**

Contact Person

**8709-2038 to 41**

Company Telephone Number

1 2

Month

3 1

Day

Fiscal Year

S E C F O R M 2 3 - B

FORM TYPE

06

Month

3rd Thurs.

Day

Certificate of Permit to Offer Securities for Sale

Secondary License Type, If Applicable

Dept. Requiring this Doc.

Amended Articles Number/Section

Total No. of Stockholders

Total Amount of Borrowings

Domestic

Foreign

To be accomplished by SEC Personnel concerned

File Number

\_\_\_\_\_  
LCU

Document I.D.

\_\_\_\_\_  
Cashier

STAMPS

Remarks = pls. use black ink for scanning purposes



Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., warrants, options, convertible securities)

1. Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Yr)	4. Number of Derivative Securities Acquired (A) or Disposed of (D)		5. Date Exercisable and Expiration Date (Month/Day/Year)		6. Title and Amount of Underlying Securities		7. Price of Derivative Security	8. No. of Derivative Securities Beneficially Owned at End of Month	9. Ownership Form of Derivative Security; Direct (D) or Indirect (I) *	10. Nature of Indirect Beneficial Ownership
			Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
N/A												

Explanation of Responses:

\_\_\_\_\_  
Date

Note: File three (3) copies of this form, one of which must be manually signed.  
Attach additional sheets if space provided is insufficient.

**DISCLOSURE REQUIREMENTS - NOT APPLICABLE  
IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNERSHIP  
(50% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE OUTSTANDING CAPITAL STOCK OF ISSUER)**

**Item 1. Security and Issuer**

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

**Item 2. Identity and Background**

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s).

- a. Name;
- b. Residence or business address;
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted;
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
- f. Citizenship.

**Item 3. Purpose of Transaction**

State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or would result in:

- a. The acquisition by any person of additional securities of the issuer, or the disposition of securities of the issuer;
- b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board;
- e. Any material change in the present capitalization or dividend policy of the issuer;
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

**Item 4. Interest in Securities of the Issuer**

- a. State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.
- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to vote or to direct the vote, sole or shared power to dispose or to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.

- c. Describe any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified.
- e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5%) percent of the class of securities, state the date on which such beneficial ownership was reduced.

**Item 5. Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer**

Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

**Item 6. Material to be Filed as Exhibits**

Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:

- a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this Report is true, complete and accurate. This report is signed in the City of Taguig, Metro Manila, on 06 November 2023.

**SIGNATURE**

**THE ANDRESONS GROUP, INCORPORATED**

By:



**ANDREW L. TAN**

Chairman

2. Transaction Date (Month/Day/Year)	4. Securities Acquired (A) or Disposed of (D)		
	Amount	(A) or (D)	Price (in Peso)
October 18, 2023	3,900	A	11.7400
October 18, 2023	1,900	A	11.7200
October 18, 2023	53,000	A	11.7000
October 18, 2023	85,000	A	11.6800
October 18, 2023	51,000	A	11.6600
October 18, 2023	23,500	A	11.6400
October 19, 2023	280,000	A	11.6600
October 19, 2023	20,000	A	11.6400
October 20, 2023	10,000	A	11.6400
October 20, 2023	35,000	A	11.6200
October 20, 2023	30,000	A	11.6000
October 20, 2023	38,000	A	11.5800
October 20, 2023	72,000	A	11.5600
October 20, 2023	15,000	A	11.5400
October 23, 2023	25,000	A	11.4400
October 23, 2023	4,000	A	11.4200
October 23, 2023	5,000	A	11.4000
October 23, 2023	8,000	A	11.3800
October 23, 2023	6,000	A	11.3600
October 24, 2023	9,900	A	11.4200
October 24, 2023	80,100	A	11.4000
October 24, 2023	5,000	A	11.3800
<b>TOTAL</b>	<b>861,300</b>	<b>A</b>	<b>11.55</b>



**Re: Alliance Global Group, Inc.\_SEC Form 23-B of TAGI as of October 31, 2023\_10November2023**

1 message

**ICTD Submission** <ictdsubmission+canned.response@sec.gov.ph>  
To: emtugano@megaworldcorp.com

Fri, Nov 10, 2023 at 11:22 AM

Thank you for reaching out to [ictdsubmission@sec.gov.ph](mailto:ictdsubmission@sec.gov.ph)!

Your submission is subject for Verification and Review of the Quality of the Attached Document only for Secondary Reports. The Official Copy of the submitted document/report with Barcode Page (Confirmation Receipt) will be made available after 7 working days via order through the SEC Express at <https://secepress.ph/>. For further clarifications, please call (02) 8737-8888.

----- NOTICE TO  
COMPANIES -----

Please be informed of the reports that shall be filed only through [ictdsubmission@sec.gov.ph](mailto:ictdsubmission@sec.gov.ph).

Pursuant to SEC MC Circular No. 3 s 2021, scanned copies of the printed reports with wet signature and proper notarization shall be filed in PORTABLE DOCUMENT FORMAT (pdf) through email at [ictdsubmission@sec.gov.ph](mailto:ictdsubmission@sec.gov.ph) such as the following SECONDARY REPORTS:

1. 17-A 6. ICA-QR 11. IHAR 16. 39-AR 21. Monthly Reports
2. 17-C 7. 23-A 12. AMLA-CF 17. 36-AR 22. Quarterly Reports
3. 17-L 8. 23-B 13. NPM 18. PNFS 23. Letters
4. 17-Q 9. GIS-G 14. NPAM 19. MCG 24. OPC (Alternate Nominee)
5. ICASR 10. 52-AR 15. BP-FCLC 20. S10/SEC-NTCE-EXEMPT

Further, effective 01 July 2023, the following reports shall be submitted through <https://efast.sec.gov.ph/user/login>.

1. FORM MC 18 7. Completion Report
2. FORM 1 - MC 19 8. Certificate-SEC Form MCG- 2009
3. FORM 2- MC 19 9. Certificate-SEC Form MCG- 2002, 2020 ETC.
4. ACGR 10. Certification of Attendance in Corporate Governance
5. I-ACGR 11. Secretary's Certificate Meeting of Board Directors (Appointment)
6. MRPT

Please be informed that the submission of the abovementioned eleven (11) reports through the [ictdsubmission@sec.gov.ph](mailto:ictdsubmission@sec.gov.ph) shall no longer be accepted. For further information, please access this link Notice for guidance on the filing of reports:

Likewise, the following reports shall be filed through the Electronic Filing and Submission Tool (eFAST) at <https://efast.sec.gov.ph/user/login> :

1. AFS 7. IHFS 13. SSF
2. GIS 8. LCFS 14. AFS with Affidavit of No Operation
3. BDFS 9. LCIF 15. AFS with NSPO Form 1,2, and 3
4. FCFS 10. OPC\_AO 16. AFS with NSPO Form 1,2,3 and 4,5,6
5. FCIF 11. PHFS 17. FS - Parent
6. GFFS 12. SFFS 18. FS – Consolidated

For the submission and processing of compliance in the filing of Memorandum Circular No. 28 Series of 2020, please visit this link – <https://apps010.sec.gov.ph/>

For your information and guidance.

Thank you.

**Fwd: Alliance Global Group, Inc.\_SEC Form 23-B of TAGI as of October 31, 2023\_10November2023**

1 message

**MSRD Submission** <msrds submission@sec.gov.ph>  
To: Corporate Secretary <corporatesecretary@allianceglobalinc.com>  
Cc: "Diana Jomaica V. Bernardo" <djvbernardo@sec.gov.ph>

Gentlemen:

Acknowledging receipt of your email below with its attachments.

Thank you.

Regards,

**MARKETS AND SECURITIES REGULATION DEPARTMENT**  
PHILIPPINE SECURITIES AND EXCHANGE COMMISSION  
The SEC Headquarters, 7907 Makati Avenue, Salcedo Village,  
Barangay Bel-Air, Makati City 1209

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----- Forwarded message -----

From: **Corporate Secretary** <corporatesecretary@allianceglobalinc.com>  
Date: Fri, Nov 10, 2023 at 11:22 AM  
Subject: Alliance Global Group, Inc.\_SEC Form 23-B of TAGI as of October 31, 2023\_10November2023  
To: ICTD Submission <ictdsubmission@sec.gov.ph>, <msrds submission@sec.gov.ph>

Gentlemen:

Please see the attached report which has been uploaded through PSE Edge. The attached report and certification are true and correct electronic copies of the documents they represent.

Should you have questions, you may contact Atty. Joyce B. Alviar, legal counsel, at [jalviar@megaworldcorp.com](mailto:jalviar@megaworldcorp.com).

Thank you.

Regards,  
Corporate Secretary  
7th Floor 1880 Eastwood Avenue Building, Eastwood City CyberPark,  
188 E. Rodriguez Jr. Avenue, Bagumbayan, Quezon City  
8709-2038 to 41



**2 attachments**

-  Alliance Global Group, Inc.\_SEC Form 23-B of TAGI as of October 31, 2023\_10November2023.pdf  
776K
-  Certification\_Alliance Global Group, Inc.\_SEC Form 23-B of TAGI as of October 31, 2023\_10November2023.pdf  
125K

The Exchange does not warrant and holds no responsibility for the veracity of the facts and representations contained in all corporate disclosures, including financial reports. All data contained herein are prepared and submitted by the disclosing party to the Exchange, and are disseminated solely for purposes of information. Any questions on the data contained herein should be addressed directly to the Corporate Information Officer of the disclosing party.



## Alliance Global Group, Inc. AGI

**PSE Disclosure Form 17-7 - Statement of Changes in Beneficial  
Ownership of Securities  
References: SRC Rule 23 and  
Section 17.5 of the Revised Disclosure Rules**

<b>Name of Reporting Person</b>	The Andresons Group, Incorporated
<b>Relationship of Reporting Person to Issuer</b>	Principal Stockholder

<b>Description of the Disclosure</b>
Attached is the SEC Form 23-B as of 31 October 2023.

**Filed on behalf by:**

<b>Name</b>	Alan Quintana
<b>Designation</b>	Corporate Secretary