| $\mathbf{A}$ | $\mathbf{S}$ | O | 9 | 3 | - | 7 | 9 | 4 | 6 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |


| $\mathbf{A}$ | $\mathbf{L}$ | $\mathbf{L}$ | $\mathbf{1}$ | $\mathbf{A}$ | $\mathbf{N}$ | $\mathbf{C}$ | $\mathbf{E}$ |  | $\mathbf{G}$ | $\mathbf{L}$ | $\mathbf{O}$ | $\mathbf{B}$ | $\mathbf{A}$ | $\mathbf{L}$ |  |  |  |
| :--- | :--- | :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathbf{G}$ | $\mathbf{R}$ | $\mathbf{O}$ | $\mathbf{U}$ | $\mathbf{P}$ | $\mathbf{,}$ | $\mathbf{1}$ | $\mathbf{N}$ | $\mathbf{C}$ | . |  |  |  |  |  |  |  |  |


| 7 |  | 1 | F |  | 1 | 8 | 8 | 0 |  | E | A | S | I | W | 0 | 0 | D |  | A | V | E | N | U | E |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| E |  | A | 5 | 1 | W | 0 | 0 | D |  | c | 1 | 1 | Y |  | C | Y | B | E | R | P | A | i | K |  |  |
| B |  | A | - | U | M | B | A | Y | A | N |  | Q | U | E | 1 | - | N |  | c | I | I | Y |  |  |  |



Secondary License Type, If Applicable


To be accomplished by SEC Personnel concerned
$\square$
File Number $\qquad$


Document I.D.
Cashier


Remarks = pls. use black ink for scanning purposes

# SECURITIES AND EXCHANGE COMMISSION <br> SEC FORM 17-C <br> <br> CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE <br> <br> CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE AND SRC RULE 17.2 (c) THEREUNDER 

 AND SRC RULE 17.2 (c) THEREUNDER}

1. $\underline{28}$ April 2023

Date of Report
2. SEC Identification No: ASO93-7946
3. BIR Tax Identification No: 003-831-302-000
4. Alliance Global Group, Inc.

Exact name of issuer as specified in its charter
5. Metro Manila

Province, Country or other jurisdiction of incorporation or organization
6. (SEC use only)

Industry classification code
7. $\quad 7^{\text {th }}$ Floor, 1880 Eastwood Avenue, Eastwood City CyberPark
E. Rodriguez, Jr. Avenue, Bagumbayan

Quezon City, Metro Manila, Philippines, 1110
Address of issuer's principal office
8. (632) 8709-2038 to 41

Issuer's telephone number, including area code
9. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA:

Title of Class

$$
\begin{array}{lr}
\text { Common } & 9,085,561,979 \\
\text { Treasury } & 1,184,266,000
\end{array}
$$

10. Item 9 (b)

Pursuant to the Corporation's buy-back program approved by the Board on 08 October 2021, the Corporation purchased from the open market on 28 April 2023, a total of $7,754,700$ shares at the average price of Php13.4520 per share. Attached is the PSE Disclosure Form 9-1 on Share Buy-Back Transactions that was filed by the Corporation with the PSE.

## SIGNATURE

Pursuant to the requirements of the Securities Regulation Code, the Issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

## ALLIANCE GLOBAL GROUP, INC.

By:


Chief inancial Officer, Compliance Officer and Corporate Information Officer

# SECURITIES AND EXCHANGE COMMISSION SEC FORM 17-C 

## CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE AND SRC RULE 17.2(c) THEREUNDER

1. Date of Report (Date of earliest event reported)

Apr 28, 2023
2. SEC Identification Number

ASO93-7946
3. BIR Tax Identification No.

003-831-302-000
4. Exact name of issuer as specified in its charter

Alliance Global Group, Inc.
5. Province, country or other jurisdiction of incorporation

Metro Manila
6. Industry Classification Code(SEC Use Only)
7. Address of principal office

7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark, 188 E. Rodriguez Jr.
Avenue, Bagumbayan, Quezon City
Postal Code
1110
8. Issuer's telephone number, including area code
(632) 8709-2038 to 41
9. Former name or former address, if changed since last report

N/A
10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

| Title of Each Class | Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding |
| :--- | ---: |
| Common |  |
| Treasury |  |

11. Indicate the item numbers reported herein

Item 9(b)

The Exchange does not warrant and holds no responsibility for the veracity of the facts and representations contained in all corporate disclosures, including financial reports. All data contained herein are prepared and submitted by the disclosing party to the Exchange, and are disseminated solely for purposes of information. Any questions on the data contained herein should be addressed directly to the Corporate Information Officer of the disclosing party.

## Alliance Global Group, Inc. <br> AGI

## PSE Disclosure Form 9-1 - Share Buy-Back Transactions References: Section 9 of the Revised Disclosure Rules

## Subject of the Disclosure

Share Buy-Back Transactions

## Background/Description of the Disclosure

Pursuant to the Corporation's buy-back program approved by the Board on 08 October 2021, the Corporation purchased from the open market on 28 April 2023, a total of $7,754,700$ shares at the average price of Php13.4520 per share.

## Type of Securities

Common
Preferred N/A
Others N/A

Details of Share Buy-Back Transaction(s)

| Date of Transaction | Number of Shares Purchased | Price Per Share |
| :---: | :---: | :---: |
| Apr 28, 2023 | 926,100 | 13.80 |
| Apr 28, 2023 | 634,400 | 13.78 |
| Apr 28, 2023 | 126,900 | 13.76 |
| Apr 28, 2023 | 88,600 | 13.74 |
| Apr 28, 2023 | 100,000 | 13.72 |
| Apr 28, 2023 | 819,000 | 13.70 |
| Apr 28, 2023 | 39,000 | 13.68 |
| Apr 28, 2023 | 89,200 | 13.66 |
| Apr 28, 2023 | 5,400 | 13.64 |
| Apr 28, 2023 | 76,000 | 13.62 |
| Apr 28, 2023 | 153,800 | 13.60 |
| Apr 28, 2023 | 1,000 | 13.56 |
| Apr 28, 2023 | 50,000 | 13.52 |
| Apr 28, 2023 | 877,000 | 13.50 |
| Apr 28, 2023 | 384,600 | 13.48 |
| Apr 28, 2023 | 109,700 | 13.46 |
| Apr 28, 2023 | 70,900 | 13.44 |
| Apr 28, 2023 | 214,100 | 13.40 |
| Apr 28, 2023 | 54,500 | 13.38 |
| Apr 28, 2023 | 3,500 | 13.36 |
| Apr 28, 2023 | 26,000 | 13.34 |
| Apr 28, 2023 | 470,900 | 13.30 |
| Apr 28, 2023 | 202,700 | 13.28 |
| Apr 28, 2023 | 84,600 | 13.26 |
| Apr 28, 2023 | 18,500 | 13.22 |
| Apr 28, 2023 | 688,800 | 13.20 |


| Apr 28, 2023 | 52,000 | 13.18 |  |
| :--- | ---: | ---: | ---: |
| Apr 28, 2023 | 35,000 | 13.16 |  |
| Apr 28, 2023 | 38,500 | 13.14 |  |
| Apr 28, 2023 | 46,600 | 13.12 |  |
| Apr 28, 2023 | 408,400 | 13.10 |  |
| Apr 28, 2023 | 13,100 | 13.08 |  |
| Apr 28, 2023 | 30,000 | 13.06 |  |
| Apr 28, 2023 | 42,100 | 13.02 |  |
| Apr 28, 2023 | 312,100 | 13.00 |  |
| Apr 28, 2023 | 456,800 | 12.90 |  |
| Apr 28, 2023 | 4,900 | 12.88 |  |
|  | $7,754,700$ |  |  |

Effects on Number of Shares

|  | Before | After |
| :--- | :--- | :--- |
| Outstanding Shares | $9,093,316,679$ | $9,085,561,979$ |
| Treasury Shares | $1,176,511,300$ | $1,184,266,000$ |

Cumulative Number of
Shares Purchased to $563,663,500$
Date ${ }^{1}$
Total Amount
Appropriated for the Php7,000,000,000.00
Buy-Back Program
Total Amount of Shares
Repurchased
Php6,483,289,181.00

## Other Relevant Information

The Cumulative Number of Shares Purchased to Date and Total Amount of Shares Repurchased are based on the new buy-back program which commenced on October 08, 2021.

Please refer to the disclosure dated 12 December 2022 on the increase in the amount appropriated for the buy-back program.
${ }^{1}$ From the date when the share buy-back program commenced.

Filed on behalf by:

| Name | Alan Quintana |
| :--- | :--- |
| Designation | Corporate Secretary |

