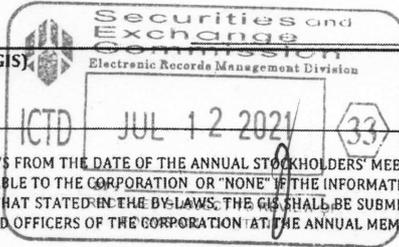


GENERAL INFORMATION SHEET (GIS)

FOR THE YEAR 2021
STOCK CORPORATION



- GENERAL INSTRUCTIONS:**
- FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. DO NOT LEAVE ANY ITEM BLANK. WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
 - IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
 - THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
 - THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
 - SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
 - ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.
 - THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: ALLIANCE GLOBAL GROUP, INC.		DATE REGISTERED: 12 October 1993	
BUSINESS/TRADE NAME: ALLIANCE GLOBAL GROUP, INC.		FISCAL YEAR END: December 31	
SEC REGISTRATION NUMBER: AS093-7946		CORPORATE TAX IDENTIFICATION NUMBER (TIN): 003-831-302-000	
DATE OF ANNUAL MEETING PER BY-LAWS: 3rd Thursday of June		WEBSITE/URL ADDRESS: www.allianceglobalinc.com	
ACTUAL DATE OF ANNUAL MEETING: June 17, 2021		E-MAIL ADDRESS: dinainting@allianceglobal.com.ph	
COMPLETE PRINCIPAL OFFICE ADDRESS: 7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark, E. Rodriguez Jr. Avenue, Bagumbayan, Quezon City, Metro Manila		FAX NUMBER: 8709 - 1966	
COMPLETE BUSINESS ADDRESS: 7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark, E. Rodriguez Jr. Avenue, Bagumbayan, Quezon City, Metro Manila	OFFICIAL EMAIL ADDRESS: corporatesecretary@allianceglobalinc.com	ALTERNATE E-MAIL ADDRESS: scdejoya@megaworldcorp.com	OFFICIAL MOBILE NO.: 09299596271
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: Punongbayan & Araullo - Romualdo V. Murcia III	SEC ACCREDITATION NUMBER (if applicable): 0628-AR-4-partner 0002-FR	ALTERNATE MOBILE NO.: 09175740444	
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: Holding Company	INDUSTRY CLASSIFICATION: N/A	TELEPHONE NUMBER(S): 8709 - 2038 to 41	
GEOGRAPHICAL CODE: N/A			

===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
N/A	N/A	N/A
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS
ALLIANCE GLOBAL BRANDS, INC.	A199919852	7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark, E. Rodriguez Jr. Avenue, Bagumbayan, Quezon City
FIRST CENTRO, INC.	AS094-6876	7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark, E. Rodriguez Jr. Avenue, Bagumbayan, Quezon City
EMPERADOR INC.	A200117595	7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark, E. Rodriguez Jr. Avenue, Bagumbayan, Quezon City
MEGAWORLD CORPORATION	167423	30th Alliance Global Tower, 36th Street cor. 11th Avenue, Uptown Bonifacio, Taguig City
GOLDEN ARCHES DEVELOPMENT CORPORATION	94000	17/F Citibank Centre, Paseo de Roxas, Makati City
ADAMS PROPERTIES, INC.	CS200702851	7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark, E. Rodriguez Jr. Avenue, Bagumbayan, Quezon City
TRAVELLERS INTERNATIONAL HOTEL GROUP, INC.	CS2003-42649	10/F Newport Entertainment & Commercial Center Newport Blvd., Pasay City
INFRACORP DEVELOPMENT, INC.	CS201713180	7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark, E. Rodriguez Jr. Avenue, Bagumbayan, Quezon City
DEW DREAMS INTERNATIONAL, INC.	CS201718342	18th Alliance Global Tower, 36th Street cor. 11th Avenue, Uptown Bonifacio, Taguig City
SHIOK SUCCESS INTERNATIONAL, INC.	CS201718343	18th Alliance Global Tower, 36th Street cor. 11th Avenue, Uptown Bonifacio, Taguig City

NOTE: USE ADDITIONAL SHEET IF NECESSARY

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

Corporate Name: **ALLIANCE GLOBAL GROUP, INC.**

A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365) Yes No

Please check the appropriate box:

<p>1.</p> <p><input type="checkbox"/> a. Banks</p> <p><input type="checkbox"/> b. Offshore Banking Units</p> <p><input type="checkbox"/> c. Quasi-Banks</p> <p><input type="checkbox"/> d. Trust Entities</p> <p><input type="checkbox"/> e. Non-Stock Savings and Loan Associations</p> <p><input type="checkbox"/> f. Pawnshops</p> <p><input type="checkbox"/> g. Foreign Exchange Dealers</p> <p><input type="checkbox"/> h. Money Changers</p> <p><input type="checkbox"/> i. Remittance Agents</p> <p><input type="checkbox"/> j. Electronic Money Issuers</p> <p><input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.</p>	<p>4. <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals</p>
<p>2.</p> <p><input type="checkbox"/> a. Insurance Companies</p> <p><input type="checkbox"/> b. Insurance Agents</p> <p><input type="checkbox"/> c. Insurance Brokers</p> <p><input type="checkbox"/> d. Professional Reinsurers</p> <p><input type="checkbox"/> e. Reinsurance Brokers</p> <p><input type="checkbox"/> f. Holding Companies</p> <p><input type="checkbox"/> g. Holding Company Systems</p> <p><input type="checkbox"/> h. Pre-need Companies</p> <p><input type="checkbox"/> i. Mutual Benefit Association</p> <p><input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)</p>	<p>5. <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone</p>
<p>3.</p> <p><input type="checkbox"/> a. Securities Dealers</p> <p><input type="checkbox"/> b. Securities Brokers</p> <p><input type="checkbox"/> c. Securities Salesman</p> <p><input type="checkbox"/> d. Investment Houses</p> <p><input type="checkbox"/> e. Investment Agents and Consultants</p> <p><input type="checkbox"/> f. Trading Advisors</p> <p><input type="checkbox"/> g. Other entities managing Securities or rendering similar services</p> <p><input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies</p> <p><input type="checkbox"/> i. Close-end Investment Companies</p> <p><input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities</p> <p><input type="checkbox"/> k. Transfer Companies and other similar entities</p> <p><input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</p> <p><input type="checkbox"/> m. Entities administering or otherwise dealing in valuable objects</p> <p><input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)</p>	<p>6. Company service providers which, as a business, provide any of the following services to third parties:</p> <p><input type="checkbox"/> a. acting as a formation agent of juridical persons</p> <p><input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons</p> <p><input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement</p> <p><input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person</p>
<p>7. Persons who provide any of the following services:</p> <p><input type="checkbox"/> a. managing of client money, securities or other assets</p> <p><input type="checkbox"/> b. management of bank, savings or securities accounts</p> <p><input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies</p> <p><input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities</p>	<p>8. <input type="checkbox"/> None of the above</p>
<p>B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?</p>	<p><input type="radio"/> Yes <input checked="" type="radio"/> No</p>
<p>Describe nature of business:</p>	<p>Holding company</p>

**GENERAL INFORMATION SHEET
STOCK CORPORATION**

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:		ALLIANCE GLOBAL GROUP, INC.					
CAPITAL STRUCTURE (as of May 31, 2021)							
AUTHORIZED CAPITAL STOCK							
	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)			
	Common	12,950,000,000	1.00	12,950,000,000.00			
	TOTAL	12,950,000,000	TOTAL P	12,950,000,000.00			
SUBSCRIBED CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	838	Common	7,964,146,530	1,538,253,958	1.00	7,964,146,530.00	82.50%
	TOTAL	7,964,146,530	TOTAL	TOTAL P	7,964,146,530.00	82.50%	
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
American	1	Common	52,500	52,500	1.00	52,500.00	0.00%
Chinese	3	Common	204,700	204,700	1.00	204,700.00	0.00%
Finland	1	Common	29,400	29,400	1.00	29,400.00	0.00%
Kittian	1	Common	100,000	100,000	1.00	100,000.00	0.00%
Non Filipino	1	Common	1,569,407,649	1,569,407,649	1.00	1,569,407,649.00	16.26%
Other Alien	1	Common	120,000,000	0	1.00	120,000,000.00	1.24%
Percentage of Foreign Equity :	TOTAL	1,689,794,249	TOTAL	TOTAL P	1,689,794,249.00	17.50%	
				TOTAL SUBSCRIBED P	9,653,940,779.00	100.00%	
PAID-UP CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP	
	838	Common	7,964,146,530	1.00	7,964,146,530.00	82.50%	
	TOTAL	7,964,146,530	TOTAL P	7,964,146,530.00	82.50%		
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP	
American	1	Common	52,500	1.00	52,500.00	0.00%	
Chinese	3	Common	204,700	1.00	204,700.00	0.00%	
Finland	1	Common	29,400	1.00	29,400.00	0.00%	
Kittian	1	Common	100,000	1.00	100,000.00	0.00%	
Non Filipino	1	Common	1,569,407,649	1.00	1,569,407,649.00	16.26%	
Other Alien	1	Common	120,000,000	1.00	120,000,000.00	1.24%	
	TOTAL	1,689,794,249	TOTAL P	1,689,794,249.00	17.50%		
			TOTAL PAID-UP P	9,653,940,779.00	100.00%		

NOTE: USE ADDITIONAL SHEET IF NECESSARY

* Common, Preferred or other classification

** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:		ALLIANCE GLOBAL GROUP, INC.						
DIRECTORS / OFFICERS								
NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. ANDREW L. TAN		N	C	M	Y	Chairman	A/M; RPT/M	
2. KEVIN ANDEW L. TAN		N	M	M	Y	CEO, Vice Chairman	CG/M; BRO/M	
3. KINGSON U. SIAN		N	M	M	Y	President & COO	N/A	
4. KATHERINE L. TAN		N	M	F	Y	Treasurer	N/A	
5. WINSTON S. CO		N	M	M	Y	N/A	N/A	
6. SERGIO R. ORTIZ-LUIS, JR.		N	I	M	Y	Lead Independent Director	A/C; CG/C; BRO/M; RPT/C	
7. JESLI A. LAPUS		N	I	M	Y	N/A	A/M; CG/M; BRO/C; RPT/M	
8. DINA D.R. INTING		N	N/A	F	Y	Chief Financial Officer, CIO, & Compliance Officer	N/A	
9. ALAN B. QUINTANA		N	N/A	M	N	Corporate Secretary	N/A	
10. NELILEEN S. BAXA		N	N/A	F	N	Assistant Corporate Secretary	N/A	
11. ===== Nothing follows =====								
12.								
13.								
14.								
15.								

INSTRUCTION:

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.
 FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.
 FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.
 FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.
 FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.
 FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

**GENERAL INFORMATION SHEET
STOCK CORPORATION**

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: ALLIANCE GLOBAL GROUP, INC.

TOTAL NUMBER OF STOCKHOLDERS: 846 **NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:** 834

TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: Php 668,492,785,167

STOCKHOLDER'S INFORMATION (as of May 31, 2021)

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
1. THE ANDRESONS GROUP, INCORPORATED <i>Filipino</i> 7th Floor 1880 Eastwood Avenue, Eastwood City CyberPark, 188 E. Rodriguez Jr. Avenue, Quezon City	Common	4,585,419,194	4,585,419,194	47.50%	4,585,419,194.00	290-000-298-167
	TOTAL	4,585,419,194	4,585,419,194.00			
2. PCD NOMINEE CORPORATION <i>Non-Filipino</i> The Enterprise Center, Ayala Ave. cor. Paseo De Roxas, Makati City	Common	1,569,407,649	1,569,407,649	16.26%	1,569,407,649.00	004-774-849-000
	TOTAL	1,569,407,649	1,569,407,649.00			
a. THE HONGKONG AND SHANGHAI BANKING CORP. LTD. - CLIENT'S ACCT. <i>Non-Filipino</i>	Common	590,247,162	590,247,162		590,247,162.00	
	TOTAL	590,247,162	590,247,162.00			
b. STANDARD CHARTERED BANK <i>Non-Filipino</i>	Common	413,388,934	413,388,934		413,388,934.00	000-501-304-000
	TOTAL	413,388,934	413,388,934.00			
c. CITIBANK N.A. <i>Non-Filipino</i>	Common	144,746,772	144,746,772		144,746,772.00	
	TOTAL	144,746,772	144,746,772.00			
3. PCD NOMINEE CORPORATION <i>Filipino</i> 37/F Tower 1, The Enterprise Center, Ayala Ave. cor. Paseo De Roxas, Makati City	Common	1,571,114,216	1,571,114,216	16.27%	1,571,114,216.00	004-774-849-000
	TOTAL	1,571,114,216	1,571,114,216.00			
a. CITIBANK N.A. <i>Filipino</i> 11/F Citibank Tower Villar corner Valero Sts., Makati City	Common	207,135,640	207,135,640		207,135,640.00	204-962-522-000
	TOTAL	207,135,640	207,135,640.00			
SUBTOTAL AMOUNT OF SUBSCRIBED CAPITAL			7,725,941,059.00	80.03%	7,725,941,059.00	
			SUBTOTAL AMOUNT OF PAID-UP CAPITAL			

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

**GENERAL INFORMATION SHEET
STOCK CORPORATION**

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: ALLIANCE GLOBAL GROUP, INC.						
TOTAL NUMBER OF STOCKHOLDERS: 846			NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: 834			
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: Php 668,492,785,167						
STOCKHOLDER'S INFORMATION (as of May 31, 2021)						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
b. SOCIAL SECURITY SYSTEM <i>Filipino</i> SSS Bldg., East Ave., Diliman, Quezon City	Common	159,370,874	159,370,874		159,370,874.00	
	TOTAL	159,370,874	159,370,874.00			
4. ALTAVISION RESOURCES, INC. <i>Filipino</i> 18F Alliance Global Tower, 36th Street cor. 11th Avenue, Uptown Bonifacio, Taguig City	Common	887,678,334	887,678,334		887,678,334.00	006-608-221
				9.19%		
	TOTAL	887,678,334	887,678,334.00			
5. YORKSHIRE HOLDINGS, INC. <i>Filipino</i> 18F Alliance Global Tower, 36th Street cor. 11th Avenue, Uptown Bonifacio, Taguig City	Common	255,773,508	255,773,508		255,773,508.00	201-372-827
				2.65%		
	TOTAL	255,773,508	255,773,508.00			
6. ASIAGROUP HOLDINGS, INC. <i>Filipino</i> 18F Alliance Global Tower, 36th Street cor. 11th Avenue, Uptown Bonifacio, Taguig City	Common	220,004,000	220,004,000		220,004,000.00	006-608-239
				2.28%		
	TOTAL	220,004,000	220,004,000.00			
7. GRAND BELAIR HOLDINGS, INC. <i>Filipino</i> 18F Alliance Global Tower, 36th Street cor. 11th Avenue, Uptown Bonifacio, Taguig City	Common	220,004,000	220,004,000.00		220,004,000.00	006-608-213
				2.28%		
	TOTAL	220,004,000	220,004,000.00			
8. CALIFORNIA ORCHARD GROWERS' INVESTMENTS, INC. <i>Non-Filipino</i> c/o 7/F 1880 Eastwood Avenue, Eastwood City CyberPark, Bagumbayan Q.C.	Common	120,000,000	120,000,000.00		120,000,000.00	449-952-507-000
				1.24%		
	TOTAL	120,000,000	120,000,000.00			
9. EASTWOOD PROPERTY HOLDINGS, INC. <i>Filipino</i> c/o 7/F 1880 Eastwood Avenue, Eastwood City CyberPark, Bagumbayan Q.C.	Common	112,600,000	112,600,000.00		112,600,000.00	005-025-837-000
				1.17%		
	TOTAL	112,600,000	112,600,000.00			
SUBTOTAL AMOUNT OF SUBSCRIBED CAPITAL			9,542,000,901.00	98.84%	9,542,000,901.00	
SUBTOTAL AMOUNT OF PAID-UP CAPITAL						

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

**GENERAL INFORMATION SHEET
STOCK CORPORATION**

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: ALLIANCE GLOBAL GROUP, INC.

TOTAL NUMBER OF STOCKHOLDERS: 846 **NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:** 834

TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: Php 668,492,785,167

STOCKHOLDER'S INFORMATION (as of May 31, 2021)

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
10. ANDREW L. TAN	Common	63,684,350	63,684,350	0.66%	63,684,350.00	
TOTAL		63,684,350	63,684,350.00			
11. ANDRESONS GLOBAL, INC. <i>Filipino</i> 21/F IBM Plaza Eastwood City, Bagumbayan, Quezon City	Common	30,088,596	30,088,596.00	0.31%	30,088,596.00	000-381-355
TOTAL		30,088,596	30,088,596.00			
12. MEGAWORLD CEBU PROPERTIES, INC. <i>Filipino</i> 18F Alliance Global Tower, 36th Street cor. 11th Avenue, Uptown Bonifacio, Taguig City	Common	10,000,000	10,000,000.00	0.10%	10,000,000.00	223-075-409
TOTAL		10,000,000	10,000,000.00			
13. KINGSON U. SIAN	Common	5,001,100	5,001,100.00	0.05%	5,001,100.00	
TOTAL		5,001,100	5,001,100.00			
14. LUCIO W. YAN &/or CLARA Y. YAN	Common	1,000,000	1,000,000.00	0.01%	1,000,000.00	
TOTAL		1,000,000	1,000,000.00			
15. FIRST CENTRO, INC. <i>Filipino</i> 7/F 1880 Eastwood Avenue, Eastwood City CyberPark, Bagumbayan, Quezon City	Common	364,200	364,200.00	0.01%	364,200.00	003-978-174
TOTAL		364,200	364,200.00			
16. JIANHUA SU	Common	202,500	202,500.00	0.00%	202,500.00	
TOTAL		202,500	202,500.00			
SUBTOTAL AMOUNT OF SUBSCRIBED CAPITAL			9,652,341,647.00	99.98%	9,652,341,647.00	
			SUBTOTAL AMOUNT OF PAID-UP CAPITAL			

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

**GENERAL INFORMATION SHEET
STOCK CORPORATION**

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: ALLIANCE GLOBAL GROUP, INC.

TOTAL NUMBER OF STOCKHOLDERS: 846 **NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:** 834

TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: Php 668,492,785,167

STOCKHOLDER'S INFORMATION (as of May 31, 2021)

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
17. AMERICAN WIRE & CABLE CO., INC. <i>Filipino</i> KM. 16 South Superhighway, Parañaque, Metro Manila	Common	200,000	200,000.00	0.00%	200,000.00	000-409-854-000
	TOTAL	200,000	200,000.00			
18. RAMON C. GARCIA	Common	100,000	100,000.00	0.00%	100,000.00	
	TOTAL	100,000	100,000.00			
19. RUPESH S. NARVEKAR	Common	100,000	100,000.00	0.00%	100,000.00	
	TOTAL	100,000	100,000.00			
20. SANG WON LEE	Common	52,500	52,500.00	0.00%	52,500.00	
	TOTAL	52,500	52,500.00			
21. OTHERS (836 stockholders) <i>Filipino</i>	Common	1,146,632	1,146,632.00	0.02%	1,146,632.00	
	TOTAL	1,146,632	1,146,632.00			
===== Nothing follows =====						
	TOTAL	9,653,940,779				
TOTAL AMOUNT OF SUBSCRIBED CAPITAL			9,653,940,779.00	100%	9,653,940,779.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

**GENERAL INFORMATION SHEET
STOCK CORPORATION**

===== PLEASE PRINT LEGIBLY =====			
CORPORATE NAME:		ALLIANCE GLOBAL GROUP, INC.	
1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION	AMOUNT (PhP)	DATE OF BOARD RESOLUTION	
1.1 STOCKS	6,488,140,627.00	N.A.	
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)	10,185,235,641.00	N.A.	
1.3 LOANS/ CREDITS/ ADVANCES			
1.4 GOVERNMENT TREASURY BILLS			
1.5 OTHERS			
2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)	DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION	
N/A	N/A	N/A	
3. TREASURY SHARES	NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED	
N/A	615,887,200	0.06	
4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR: P138,533,653,159			
5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:			
TYPE OF DIVIDEND	AMOUNT (PhP)	DATE DECLARED	
5.1 CASH	485,562,534.00	12/1/2020	
5.2 STOCK			
5.3 PROPERTY			
TOTAL	P		
6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:			
DATE	NO. OF SHARES	AMOUNT	
N/A	N/A	N/A	
SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:			
NAME OF AGENCY:	SEC	B S P	IC
TYPE OF LICENSE/REGN.	Certificate of Permit to Offer Securities for Sale (3,600,000,000 shares)	N/A	N/A
DATE ISSUED:	06 June 2007	N/A	N/A
DATE STARTED OPERATIONS:	06 June 2007	N/A	N/A
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)	TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES	TOTAL MANPOWER COMPLEMENT
PhP675,000.00	8	28,879	39,437

NOTE: USE ADDITIONAL SHEET IF NECESSARY

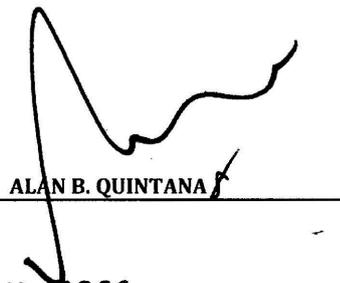
I, **ALAN B. QUINTANA**, Corporate Secretary of **ALLIANCE GLOBAL GROUP, INC.** declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (Section 177, RA No. 11232).

Done this **08 JUL 2021** day of _____, 20____ in **CITY OF MAKATI**



ALAN B. QUINTANA

SUBSCRIBED AND SWORN TO before me in **CITY OF MAKATI** on **08 JUL 2021** by affiant who personally appeared before me and exhibited to me his

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Page No. 48 ;
Book No. 173 ;
Series of 2021 .


ATTY. **RAYMOND A. RAMOS**
COMMISSION NO. M-239
NOTARY PUBLIC FOR MAKATI CITY
UNTIL DECEMBER 31, 2021 per B.M. No. 3795
11 KALAYAAN AVENUE EXTENSION,
BARANGAY WEST REMBO, MAKATI CITY
SC Roll No. 62179/04-26-2013
IBP NO. 137312/01-04-2021/Pasig City
PTR NO. MKT 8531022/01-04-2021/Makati City
MCLE Compliance No. VI-0007878/04-06-2018

NOTARY PUBLIC