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STAMPS

SECURITIES AND EXCHANGE COMMISSION SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE AND SRC RULE 17.2 (c) THEREUNDER

1. <u>23 December 2020</u>

Date of Report

2. SEC Identification No: ASO93-7946 3. BIR Tax Identification No: 003-831-302-000

4. Alliance Global Group, Inc.

Exact name of issuer as specified in its charter

5. Metro Manila

Province, Country or other jurisdiction of incorporation or organization

6. (SEC use only)

Industry classification code

7. 7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark E. Rodriguez, Jr. Avenue, Bagumbayan

Quezon City, Metro Manila, Philippines, 1110

Address of issuer's principal office

8. **(632) 8709-2038 to 41**

Issuer's telephone number, including area code

Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA:

Title of Class No. of Shares of Common Stock

Outstanding

Common 9,707,889,479 Treasury 561,938,500

10. Item 9 (b)

Please see attached disclosure filed with the Philippine Stock Exchange.

SIGNATURE

Pursuant to the requirements of the Securities Regulation Code, the Issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

ALLIANCE GLOBAL GROUP, INC.

By:

DINA D.R. INTING

Chief Financial Officer, Compliance
Officer and Corporate Information Officer

SECURITIES AND EXCHANGE COMMISSION SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE AND SRC RULE 17.2(c) THEREUNDER

1. Date of Report (Date of earliest event reported)

Dec 23, 2020

2. SEC Identification Number

ASO93-7946

3. BIR Tax Identification No.

003-831-302-000

4. Exact name of issuer as specified in its charter

Alliance Global Group, Inc.

5. Province, country or other jurisdiction of incorporation

Metro Manila

- 6. Industry Classification Code(SEC Use Only)
- 7. Address of principal office

7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark, E. Rodriguez Jr. Avenue, Bagumbayan, Quezon City Postal Code 1110

8. Issuer's telephone number, including area code (632)-8709-2038 to 41

9. Former name or former address, if changed since last report

N/A

10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

| Title of Each Class | Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding | | | | | | |
|---------------------|---|--|--|--|--|--|--|
| Common | 9,707,889,479 | | | | | | |
| Treasury | 561,938,500 | | | | | | |

11. Indicate the item numbers reported herein

Item 9(b)

The Exchange does not warrant and holds no responsibility for the veracity of the facts and representations contained in all corporate disclosures, including financial reports. All data contained herein are prepared and submitted by the disclosing party to the Exchange, and are disseminated solely for purposes of information. Any questions on the data contained herein should be addressed directly to the Corporate Information Officer of the disclosing party.



Alliance Global Group, Inc. AGI

PSE Disclosure Form 10-1 - Acquisition or Disposition of Shares by Subsidiaries/Affiliates

Reference: Section 10 of the Revised Disclosure Rules

Subject of the Disclosure

Acquisition of Shares by Megaworld Corporation (MEG)

Background/Description of the Disclosure

On 23 December 2020, MEG, a subsidiary of AGI, acquired a total of 246,300 common shares of AGI at the average price of Php10.9710 per share from the open market.

| Name of subsidiary and/or affiliate | Megaworld Corporation |
|-------------------------------------|-----------------------|
| Nature of relationship with Issuer | Subsidiary |

Details of the Transaction

| Date of Transaction | Number of Shares Acquired | Number of Shares Disposed | Price Per Share |
|---------------------|---------------------------|---------------------------|-----------------|
| Dec 23, 2020 | 92,500 | 0 | 11.04 |
| Dec 23, 2020 | 7,500 | 0 | 11.02 |
| Dec 23, 2020 | 2,000 | 0 | 11.00 |
| Dec 23, 2020 | 3,500 | 0 | 10.98 |
| Dec 23, 2020 | 37,000 | 0 | 10.96 |
| Dec 23, 2020 | 82,500 | 0 | 10.94 |
| Dec 23, 2020 | 10,200 | 0 | 10.90 |
| Dec 23, 2020 | 600 | 0 | 10.88 |
| Dec 23, 2020 | 300 | 0 | 10.86 |
| Dec 23, 2020 | 1,000 | 0 | 10.84 |
| Dec 23, 2020 | 600 | 0 | 10.76 |
| Dec 23, 2020 | 1,000 | 0 | 10.74 |
| Dec 23, 2020 | 2,000 | 0 | 10.72 |
| Dec 23, 2020 | 600 | 0 | 10.66 |
| Dec 23, 2020 | 700 | 0 | 10.64 |
| Dec 23, 2020 | 1,000 | 0 | 10.60 |
| Dec 23, 2020 | 3,100 | 0 | 10.58 |
| Dec 23, 2020 | 200 | 0 | 10.56 |

| held and/ | number of shares by the subsidiary or affiliate after action | 33,959,700 |
|--------------|---|------------|
| | entage to total tanding shares | 0.35 |

Other Relevant Information

None.

Filed on behalf by:

| Name | Alan Quintana | | | | |
|-------------|---------------------|--|--|--|--|
| Designation | Corporate Secretary | | | | |
| | | | | | |