

A S O 9 3 - 7 9 4 6

S.E.C. Registration Number

A L L I A N C E G L O B A L
G R O U P , I N C .

(Company's Full Name)

7 / F 1 8 8 0 E A S T W O O D A V E N U E
E A S T W O O D C I T Y C Y B E R P A R K
B A G U N B A Y A N Q U E Z O N C I T Y

(Business Address: No. Street City/ Town/ Province)

DINA D.R. INTING

Contact Person

8709-2038 to 41

Company Telephone Number

1 2

Month

3 1

Day

Fiscal Year

L E T T E R

FORM TYPE

06

Month

3rd Thurs.

Day

Certificate of Permit to
Offer Securities for Sale

Secondary License Type, If Applicable

Dept. Requiring this Doc.

Amended Articles Number/Section

Total No. of Stockholders

Total Amount of Borrowings

Domestic

Foreign

To be accomplished by SEC Personnel concerned

File Number

LCU

Document I.D.

Cashier

S T A M P S

Remarks = pls. use black ink for scanning purposes

09 December 2025

SECURITIES AND EXCHANGE COMMISSION

Corporate Governance and Finance Department
8th Floor SEC Headquarters
7907 Makati Avenue, Barangay Bel-Air
Makati City

Attention : **ATTY. RACHEL ESTHER J. GUMTANG-REMALANTE**
Director

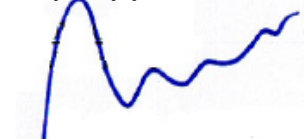
Gentlemen:

In compliance with Memorandum Circular (MC) No. 19, Series of 2016 of the Securities and Exchange Commission, we submit herewith the Certificate of Attendance of the following Directors and Officers of Alliance Global Group, Inc. for having completed the seminar on Corporate Governance for the year 2025:

- | | | |
|---------------------------|---|--|
| 1. Andrew L. Tan | - | Chairman |
| 2. Kevin Andrew L. Tan | - | President, Chief Executive Officer, and Vice Chairman |
| 3. Katherine L. Tan | - | Director and Treasurer |
| 4. Jesli A. Lapus | - | Lead Independent Director |
| 5. Enrique M. Soriano III | - | Independent Director |
| 6. Anthony T. Robles | - | Independent Director |
| 7. Dina D.R. Inting | - | Chief Financial Officer, Corporate Information Officer,
Compliance Officer, and Chief Audit Executive |
| 8. Alan B. Quintana | - | Corporate Secretary |

Thank you.

Very truly yours,



ALAN B. QUINTANA
Corporate Secretary



and the

P&A Academy

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Presents this

Certificate of Completion

Andrew L. Tan

Chairman, Alliance Global Group, Inc.


Program: **Boardroom Intelligence: Navigating Governance Shifts, Fraud Threats, and Tech Risk**

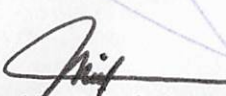
Hours: 4.0

Date: 28 November 2025

Venue: Online via Zoom Meeting

Topics: Navigating the New Era of Corporate Governance:
Everything You Need to Know
Leading a Culture of Anti-Fraud and Accountability
Data, Information Systems, Privacy, and Cybersecurity


Michael C. Gallego
Partner


Michael Earl B. Castroverde
Learning Leader, P&A Academy





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Certificate of Completion

Kevin Andrew L. Tan

President and CEO, Alliance Global Group, Inc.


Program: **Boardroom Intelligence: Navigating Governance Shifts,
Fraud Threats, and Tech Risk**


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Partner


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Learning Leader, P&A Academy





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Katherine L. Tan

Director and Treasurer, Alliance Global Group, Inc.


Program: **Boardroom Intelligence: Navigating Governance Shifts,
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Michael C. Gallego
Partner


Michael Earl B. Castroverde
Learning Leader, P&A Academy





This

Certificate of Attendance

is presented to

Jesli A. Lapus

for having completed the seminar on

Corporate Governance

02 December 2025

02:00 p.m. to 05:00 p.m.

Online seminar via MS Teams

Joseph Ian M. Canlas
Partner, SGV & Co.



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Certificate of Completion

Enrique M. Soriano III

Independent Director, Alliance Global Group, Inc.

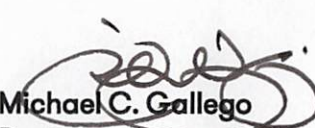
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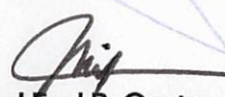
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Anthony T. Robles

Independent Director, Alliance Global Group, Inc.


Program: **Boardroom Intelligence: Navigating Governance Shifts,
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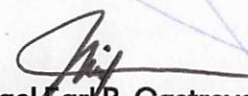
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Dina D.R. Inting

Chief Financial Officer, CIO, and Compliance Officer, Alliance Global Group, Inc.


Program: **Boardroom Intelligence: Navigating Governance Shifts,
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Michael Earl B. Castroverde
Learning Leader, P&A Academy

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Alan B. Quintana

Corporate Secretary, Alliance Global Group, Inc.

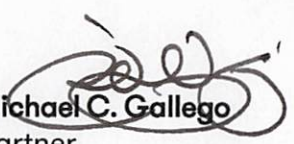
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