



AMENDED GENERAL INFORMATION SHEET (GIS)

FOR THE YEAR 2020
STOCK CORPORATION

GENERAL INSTRUCTIONS:

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE **CORPORATE SECRETARY** OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

| | | |
|---|---|--|
| CORPORATE NAME: ALLIANCE GLOBAL GROUP, INC. | | DATE REGISTERED: 12 October 1993 |
| BUSINESS/TRADE NAME: ALLIANCE GLOBAL GROUP, INC. | | FISCAL YEAR END: December 31 |
| SEC REGISTRATION NUMBER: AS093-7946 | | CORPORATE TAX IDENTIFICATION NUMBER (TIN): 903-831-302-000 |
| DATE OF ANNUAL MEETING PER BY-LAWS: 3rd Thursday of June | | WEBSITE/URL ADDRESS: www.allianceglobalinc.com |
| ACTUAL DATE OF ANNUAL MEETING: 06 August 2020 | | E-MAIL ADDRESS: dinaintiq@allianceglobal.com.ph |
| COMPLETE PRINCIPAL OFFICE ADDRESS: 7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark, E. Rodriguez Jr. Avenue, Bagumbayan, Quezon City, Metro Manila | | FAX NUMBER: 8709 - 1966 |
| COMPLETE BUSINESS ADDRESS: 7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark, E. Rodriguez Jr. Avenue, Bagumbayan, Quezon City, Metro Manila | | TELEPHONE NUMBER(S): 8709 - 2038 to 41 |
| NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: Punongbayan & Araullo - Romualdo V. Murcia III | SEC ACCREDITATION NUMBER (if applicable): 0628-AR-4-partner 0002-FR-5-firm | GEOGRAPHICAL CODE: N/A |
| PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: Holding Company | INDUSTRY CLASSIFICATION: N/A | |

===== INTERCOMPANY AFFILIATIONS =====

| PARENT COMPANY | SEC REGISTRATION NO. | ADDRESS |
|--|----------------------|--|
| N/A | N/A | N/A |
| SUBSIDIARY/AFFILIATE | SEC REGISTRATION NO. | ADDRESS |
| ALLIANCE GLOBAL BRANDS, INC. | A199919852 | 7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark, E. Rodriguez Jr. Avenue, Bagumbayan, Quezon City |
| FIRST CENTRO, INC. | AS094-6876 | 7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark, E. Rodriguez Jr. Avenue, Bagumbayan, Quezon City |
| EMPERADOR INC. | A200117595 | 7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark, E. Rodriguez Jr. Avenue, Bagumbayan, Quezon City |
| MEGAWORLD CORPORATION | 167423 | 30th Alliance Global Tower, 36th Street cor. 11th Avenue, Uptown Bonifacio, Taguig City |
| GOLDEN ARCHES DEVELOPMENT CORPORATION | 94000 | 17/F Citibank Centre, Paseo de Roxas, Makati City |
| ADAMS PROPERTIES, INC. | CS200702851 | 7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark, E. Rodriguez Jr. Avenue, Bagumbayan, Quezon City |
| TRAVELLERS INTERNATIONAL HOTEL GROUP, INC. | CS2003-42649 | 10/F Newport Entertainment & Commercial Center Newport Blvd., Pasay City |
| INFRACORP DEVELOPMENT, INC. | CS201713180 | 7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark, E. Rodriguez Jr. Avenue, Bagumbayan, Quezon City |
| DEW DREAMS INTERNATIONAL, INC. | CS201718342 | 18th Alliance Global Tower, 36th Street cor. 11th Avenue, Uptown Bonifacio, Taguig City |
| SHIOK SUCCESS INTERNATIONAL, INC. | CS201718343 | 18th Alliance Global Tower, 36th Street cor. 11th Avenue, Uptown Bonifacio, Taguig City |

NOTE: USE ADDITIONAL SHEET IF NECESSARY

AMENDED GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

Corporate Name: **ALLIANCE GLOBAL GROUP, INC.**

A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365) Yes No

Please check the appropriate box:

| | |
|--|--|
| <p>1.</p> <p><input type="checkbox"/> a. Banks</p> <p><input type="checkbox"/> b. Offshore Banking Units</p> <p><input type="checkbox"/> c. Quasi-Banks</p> <p><input type="checkbox"/> d. Trust Entities</p> <p><input type="checkbox"/> e. Non-Stock Savings and Loan Associations</p> <p><input type="checkbox"/> f. Pawnshops</p> <p><input type="checkbox"/> g. Foreign Exchange Dealers</p> <p><input type="checkbox"/> h. Money Changers</p> <p><input type="checkbox"/> i. Remittance Agents</p> <p><input type="checkbox"/> j. Electronic Money Issuers</p> <p><input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.</p> | <p>4. <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals</p> |
| <p>2.</p> <p><input type="checkbox"/> a. Insurance Companies</p> <p><input type="checkbox"/> b. Insurance Agents</p> <p><input type="checkbox"/> c. Insurance Brokers</p> <p><input type="checkbox"/> d. Professional Reinsurers</p> <p><input type="checkbox"/> e. Reinsurance Brokers</p> <p><input type="checkbox"/> f. Holding Companies</p> <p><input type="checkbox"/> g. Holding Company Systems</p> <p><input type="checkbox"/> h. Pre-need Companies</p> <p><input type="checkbox"/> i. Mutual Benefit Association</p> <p><input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)</p> | <p>5. <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone</p> |
| <p>3.</p> <p><input type="checkbox"/> a. Securities Dealers</p> <p><input type="checkbox"/> b. Securities Brokers</p> <p><input type="checkbox"/> c. Securities Salesman</p> <p><input type="checkbox"/> d. Investment Houses</p> <p><input type="checkbox"/> e. Investment Agents and Consultants</p> <p><input type="checkbox"/> f. Trading Advisors</p> <p><input type="checkbox"/> g. Other entities managing Securities or rendering similar services</p> <p><input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies</p> <p><input type="checkbox"/> i. Close-end Investment Companies</p> <p><input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities</p> <p><input type="checkbox"/> k. Transfer Companies and other similar entities</p> <p><input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</p> <p><input type="checkbox"/> m. Entities administering or otherwise dealing in valuable objects</p> <p><input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)</p> | <p>6. Company service providers which, as a business, provide any of the following services to third parties:</p> <p><input type="checkbox"/> a. acting as a formation agent of juridical persons</p> <p><input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons</p> <p><input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement</p> <p><input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person</p> |
| <p>7. Persons who provide any of the following services:</p> <p><input type="checkbox"/> a. managing of client money, securities or other assets</p> <p><input type="checkbox"/> b. management of bank, savings or securities accounts</p> <p><input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies</p> <p><input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities</p> | <p>8. <input type="checkbox"/> None of the above</p> |
| <p>B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?</p> | <p><input type="radio"/> Yes <input checked="" type="radio"/> No</p> |
| <p>Describe nature of business:</p> | <p>Holding company</p> |

**AMENDED GENERAL INFORMATION SHEET
STOCK CORPORATION**

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: ALLIANCE GLOBAL GROUP, INC.

CAPITAL STRUCTURE (as of July 31, 2020)

AUTHORIZED CAPITAL STOCK

| | TYPE OF SHARES * | NUMBER OF SHARES | PAR/STATED VALUE | AMOUNT (PhP) (No. of shares X Par/Stated Value) |
|--------------|------------------|-----------------------|------------------|--|
| | Common | 12,950,000,000 | 1.00 | 12,950,000,000.00 |
| TOTAL | | 12,950,000,000 | TOTAL P | 12,950,000,000.00 |

SUBSCRIBED CAPITAL

| FILIPINO | NO. OF STOCK-HOLDERS | TYPE OF SHARES * | NUMBER OF SHARES | NUMBER OF SHARES IN THE HANDS OF THE PUBLIC ** | PAR/STATED VALUE | AMOUNT (PhP) | % OF OWNERSHIP |
|--------------|----------------------|------------------|----------------------|--|------------------|-------------------------|----------------|
| | 850 | Common | 7,880,892,632 | 1,513,662,518 | 1.00 | 7,880,892,632.00 | 80.35% |
| TOTAL | | | 7,880,892,632 | TOTAL | TOTAL P | 7,880,892,632.00 | 80.35% |

| FOREIGN (INDICATE BY NATIONALITY) | NO. OF STOCK-HOLDERS | TYPE OF SHARES * | NUMBER OF SHARES | NUMBER OF SHARES IN THE HANDS OF THE PUBLIC ** | PAR/STATED VALUE | AMOUNT (PhP) | % OF OWNERSHIP |
|--------------------------------------|----------------------|------------------|------------------|--|------------------|-------------------------|----------------|
| American | 1 | Common | 52,500 | 52,500 | 1.00 | 52,500.00 | 0.00% |
| Chinese | 3 | Common | 204,700 | 204,700 | 1.00 | 204,700.00 | 0.00% |
| Finland | 1 | Common | 29,400 | 29,400 | 1.00 | 29,400.00 | 0.00% |
| Kittian | 1 | Common | 100,000 | 100,000 | 1.00 | 100,000.00 | 0.00% |
| Non Filipino | 1 | Common | 1,809,260,647 | 1,809,260,647 | 1.00 | 1,809,260,647.00 | 18.43% |
| Other Alien | 1 | Common | 120,000,000 | 120,000,000 | 1.00 | 120,000,000.00 | 1.22% |
| Percentage of Foreign Equity: | | | TOTAL | TOTAL | TOTAL P | 1,929,647,247.00 | 19.65% |
| | | | | TOTAL SUBSCRIBED P | | 9,810,539,879.00 | 100.00% |

PAID-UP CAPITAL

| FILIPINO | NO. OF STOCK-HOLDERS | TYPE OF SHARES * | NUMBER OF SHARES | PAR/STATED VALUE | AMOUNT (PhP) | % OF OWNERSHIP |
|--------------|----------------------|------------------|----------------------|------------------|-------------------------|----------------|
| | 850 | Common | 7,880,892,632 | 1.00 | 7,880,892,632.00 | 80.35% |
| TOTAL | | | 7,880,892,632 | TOTAL P | 7,880,892,632.00 | 80.35% |

| FOREIGN (INDICATE BY NATIONALITY) | NO. OF STOCK-HOLDERS | TYPE OF SHARES * | NUMBER OF SHARES | PAR/STATED VALUE | AMOUNT (PhP) | % OF OWNERSHIP | |
|-----------------------------------|----------------------|------------------|------------------|------------------------|-------------------------|------------------|--------|
| American | 1 | Common | 52,500 | 52,500 | 1.00 | 52,500.00 | 0.00% |
| Chinese | 3 | Common | 204,700 | 204,700 | 1.00 | 204,700.00 | 0.00% |
| Finland | 1 | Common | 29,400 | 29,400 | 1.00 | 29,400.00 | 0.00% |
| Kittian | 1 | Common | 100,000 | 100,000 | 1.00 | 100,000.00 | 0.00% |
| Non Filipino | 1 | Common | 1,809,260,647 | 1,809,260,647 | 1.00 | 1,809,260,647.00 | 18.43% |
| Other Alien | 1 | Common | 120,000,000 | 120,000,000 | 1.00 | 120,000,000.00 | 1.22% |
| 19.67 % | | | TOTAL | TOTAL P | 1,929,647,247.00 | 19.65% | |
| | | | | TOTAL PAID-UP P | 9,810,539,879.00 | 100.00% | |

NOTE: USE ADDITIONAL SHEET IF NECESSARY

* Common, Preferred or other classification

** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

AMENDED GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME: **ALLIANCE GLOBAL GROUP, INC.**

DIRECTORS / OFFICERS

| NAME/CURRENT RESIDENTIAL ADDRESS | NATIONALITY | INC'R | BOARD | GENDER | STOCK HOLDER | OFFICER | EXEC. COMM. | TAX IDENTIFICATION NUMBER |
|------------------------------------|-------------|-------|-------|--------|--------------|---|----------------------------------|---------------------------|
| 1. ANDREW L. TAN | | N | C | M | Y | Chairman | A/M; RPT/M | |
| 2. KEVIN ANDREW L. TAN | | N | M | M | Y | CEO, Vice Chairman | CG/M; BRO/M | |
| 3. KINGSON U. SIAN | | N | M | M | Y | President & COO | N/A | |
| 4. KATHERINE L. TAN | | N | M | F | Y | Treasurer | N/A | |
| 5. ALEJO L. VILLANUEVA, JR | | N | I | M | Y | Lead Independent Director | A/C; CG/C; BRO/M; RPT/C | |
| 6. WINSTON S. CO | | N | M | M | Y | N/A | N/A | |
| 7. SERGIO R. ORTIZ-LUIS, JR. | | N | I | M | Y | N/A | A/M; CG/M; BRO/C; RPT/M | |
| 8. DINA D.R. INTING | | N | N/A | F | Y | Chief Financial Officer, CIO, & Compliance Officer | N/A | |
| 9. ALAN B. QUINTANA | | N | N/A | M | N | Corporate Secretary | N/A | |
| 10. NELILEEN S. BAXA | | N | N/A | F | N | Assistant Corporate Secretary | N/A | |
| 11. ===== Nothing follows ===== | | | | | | | | |
| 12. | | | | | | | | |
| 13. | | | | | | | | |
| 14. | | | | | | | | |
| 15. | | | | | | | | |

INSTRUCTION:

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

**AMENDED GENERAL INFORMATION SHEET
STOCK CORPORATION**

===== PLEASE PRINT LEGIBLY =====

| | | | |
|---|-----|--|-----|
| CORPORATE NAME: | | ALLIANCE GLOBAL GROUP, INC. | |
| TOTAL NUMBER OF STOCKHOLDERS: | 855 | NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: | 837 |
| TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: | | Php 644,476,328,467 | |

STOCKHOLDER'S INFORMATION (as of July 30, 2020)

| NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS | SHARES SUBSCRIBED | | | | AMOUNT PAID (PhP) | TAX IDENTIFICATION NUMBER |
|--|-------------------|----------------------|-------------------------|----------------|-------------------------|---------------------------|
| | TYPE | NUMBER | AMOUNT (PhP) | % OF OWNERSHIP | | |
| 1. THE ANDRESONS GROUP, INCORPORATED <i>Filipino</i> 7th Floor 1880 Eastwood Avenue, Eastwood City CyberPark, 188 E. Rodriguez Jr. Avenue, Quezon City | Common | 4,570,123,994 | 4,570,123,994 | 46.58% | 4,570,123,994.00 | 290-000-298-167 |
| | | | | | | |
| | TOTAL | 4,570,123,994 | 4,570,123,994.00 | | | |
| 2. PCD NOMINEE CORPORATION <i>Non-Filipino</i> The Enterprise Center, Ayala Ave. cor. Paseo De Roxas, Makati City | Common | 1,809,260,647 | 1,809,260,647 | 18.44% | 1,809,260,647.00 | 004-774-849-000 |
| | | | | | | |
| | TOTAL | 1,809,260,647 | 1,809,260,647.00 | | | |
| a. THE HONGKONG AND SHANGHAI BANKING CORP. LTD. - CLIENT'S ACCT. <i>Non-Filipino</i> | Common | 1,076,401,322 | 1,076,401,322 | | 1,076,401,322.00 | |
| | | | | | | |
| | TOTAL | 1,076,401,322 | 1,076,401,322.00 | | | |
| b. DEUTSCHE BANK MANILA-CLIENTS A/C <i>Non-Filipino</i> | Common | 537,671,310 | 537,671,310 | | 537,671,310.00 | 000-449-586 |
| | | | | | | |
| | TOTAL | 537,671,310 | 537,671,310.00 | | | |
| c. CITIBANK N.A. <i>Non-Filipino</i> | Common | 151,895,481 | 151,895,481 | | 151,895,481.00 | 803-117-000 |
| | | | | | | |
| | TOTAL | 151,895,481 | 151,895,481.00 | | | |
| 3. PCD NOMINEE CORPORATION <i>Filipino</i> 37/F Tower 1, The Enterprise Center, Ayala Ave. cor. Paseo De Roxas, Makati City | Common | 1,503,154,518 | 1,503,154,518 | 15.32% | 1,503,154,518.00 | 004-774-849-000 |
| | | | | | | |
| | TOTAL | 1,503,154,518 | 1,503,154,518.00 | | | |
| a. GOVERNMENT SERVICE INSURANCE SYSTEM <i>Filipino</i> | Common | 158,880,721 | 158,880,721 | | 158,880,721.00 | 000-766-810-000 |
| | | | | | | |
| | TOTAL | 158,880,721 | 158,880,721.00 | | | |
| SUBTOTAL AMOUNT OF SUBSCRIBED CAPITAL | | | 7,882,539,159.00 | 80.35% | 7,882,539,159.00 | |
| SUBTOTAL AMOUNT OF PAID-UP CAPITAL | | | | | | |

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

**AMENDED GENERAL INFORMATION SHEET
STOCK CORPORATION**

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: **ALLIANCE GLOBAL GROUP, INC.**

TOTAL NUMBER OF STOCKHOLDERS: **855** **NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:** **837**

TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: **Php 644,476,328,467**

STOCKHOLDER'S INFORMATION (as of July 30, 2020)

| NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS | SHARES SUBSCRIBED | | | AMOUNT PAID (PhP) | TAX IDENTIFICATION NUMBER |
|---|-------------------|--------------------|-----------------------|-------------------|-----------------------------------|
| | TYPE | NUMBER | AMOUNT (PhP) | | |
| b. STANDARD CHARTERED BANK <i>Filipino</i> | Common | 9,472,191 | 9,472,191 | 9,472,191.00 | |
| | | | | | |
| | TOTAL | 9,472,191 | 9,472,191.00 | | |
| 4. ALTAVISION RESOURCES, INC. <i>Filipino</i> 18F Alliance Global Tower, 36th Street cor. 11th Avenue, Uptown Bonifacio, Taguig City | Common | 887,678,334 | 887,678,334 | 9.05% | 887,678,334.00 006-608-221 |
| | | | | | |
| | TOTAL | 887,678,334 | 887,678,334.00 | | |
| 5. YORKSHIRE HOLDINGS, INC. <i>Filipino</i> 18F Alliance Global Tower, 36th Street cor. 11th Avenue, Uptown Bonifacio, Taguig City | Common | 255,773,508 | 255,773,508 | 2.61% | 255,773,508.00 201-372-827 |
| | | | | | |
| | TOTAL | 255,773,508 | 255,773,508.00 | | |
| 6. ASIAGROUP HOLDINGS, INC. <i>Filipino</i> 18F Alliance Global Tower, 36th Street cor. 11th Avenue, Uptown Bonifacio, Taguig City | Common | 220,004,000 | 220,004,000 | 2.24% | 220,004,000.00 006-608-239 |
| | | | | | |
| | TOTAL | 220,004,000 | 220,004,000.00 | | |
| 7. GRAND BELAIR HOLDINGS, INC. <i>Filipino</i> 18F Alliance Global Tower, 36th Street cor. 11th Avenue, Uptown Bonifacio, Taguig City | Common | 220,004,000 | 220,004,000.00 | 2.24% | 220,004,000.00 006-608-213 |
| | | | | | |
| | TOTAL | 220,004,000 | 220,004,000.00 | | |
| 8. CALIFORNIA ORCHARD GROWERS' INVESTMENTS, INC. <i>Non-Filipino</i> c/o 7/F 1880 Eastwood Avenue, Eastwood City CyberPark, Bagumbayan Q.C. | Common | 120,000,000 | 120,000,000.00 | 1.22% | 120,000,000.00 449-952-507-000 |
| | | | | | |
| | TOTAL | 120,000,000 | 120,000,000.00 | | |
| 9. EASTWOOD PROPERTY HOLDINGS, INC. <i>Filipino</i> c/o 7/F 1880 Eastwood Avenue, Eastwood City CyberPark, Bagumbayan Q.C. | Common | 112,600,000 | 112,600,000.00 | 1.15% | 112,600,000.00 005-025-837-000 |
| | | | | | |
| | TOTAL | 112,600,000 | 112,600,000.00 | | |
| SUBTOTAL AMOUNT OF SUBSCRIBED CAPITAL | | | 9,698,599,001.00 | 98.86% | 9,698,599,001.00 |
| SUBTOTAL AMOUNT OF PAID-UP CAPITAL | | | | | |

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

**AMENDED GENERAL INFORMATION SHEET
STOCK CORPORATION**

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CORPORATE NAME: **ALLIANCE GLOBAL GROUP, INC.**

TOTAL NUMBER OF STOCKHOLDERS: **855** **NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:** **837**

TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: **Php 644,476,328,467**

STOCKHOLDER'S INFORMATION (as of July 30, 2020)

| NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS | SHARES SUBSCRIBED | | | | AMOUNT PAID (PhP) | TAX IDENTIFICATION NUMBER |
|--|-------------------|-------------------|---|-----------------|-------------------------|---------------------------|
| | TYPE | NUMBER | AMOUNT (PhP) | % OF OWNER-SHIP | | |
| 10. ANDREW L. TAN | Common | 63,684,350 | 63,684,350 | 0.65% | 63,684,350.00 | |
| | | | | | | |
| | TOTAL | 63,684,350 | 63,684,350.00 | | | |
| 11. ANDRESONS GLOBAL, INC. <i>Filipino</i> 21/F IBM Plaza Eastwood City, Bagumbayan, Quezon City | Common | 30,088,596 | 30,088,596.00 | 0.31% | 30,088,596.00 | 000-381-355 |
| | | | | | | |
| | TOTAL | 30,088,596 | 30,088,596.00 | | | |
| 12. MEGAWORLD CEBU PROPERTIES, INC. <i>Filipino</i> 18F Alliance Global Tower, 36th Street cor. 11th Avenue, Uptown Bonifacio, Taguig City | Common | 10,000,000 | 10,000,000.00 | 0.10% | 10,000,000.00 | 223-075-409 |
| | | | | | | |
| | TOTAL | 10,000,000 | 10,000,000.00 | | | |
| 13. KINGSON U. SIAN | Common | 5,001,100 | 5,001,100.00 | 0.05% | 5,001,100.00 | |
| | | | | | | |
| | TOTAL | 5,001,100 | 5,001,100.00 | | | |
| 14. LUCIO W. YAN &/or CLARA Y. YAN | Common | 1,000,000 | 1,000,000.00 | 0.01% | 1,000,000.00 | |
| | | | | | | |
| | TOTAL | 1,000,000 | 1,000,000.00 | | | |
| 15. FIRST CENTRO, INC. <i>Filipino</i> 7/F 1880 Eastwood Avenue, Eastwood City CyberPark, Bagumbayan, Quezon City | Common | 364,200 | 364,200.00 | 0.00% | 364,200.00 | 003-978-174 |
| | | | | | | |
| | TOTAL | 364,200 | 364,200.00 | | | |
| 16. JIANHUA SU | Common | 202,500 | 202,500.00 | 0.00% | 202,500.00 | |
| | | | | | | |
| | TOTAL | 202,500 | 202,500.00 | | | |
| SUBTOTAL AMOUNT OF SUBSCRIBED CAPITAL | | | 9,808,939,747.00 | 99.98% | 9,808,939,747.00 | |
| | | | SUBTOTAL AMOUNT OF PAID-UP CAPITAL | | | |

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

**AMENDED GENERAL INFORMATION SHEET
STOCK CORPORATION**

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: **ALLIANCE GLOBAL GROUP, INC.**

TOTAL NUMBER OF STOCKHOLDERS: **855** **NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:** **837**

TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: **Php 644,476,328,467**

STOCKHOLDER'S INFORMATION (as of July 30, 2020)

| NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS | SHARES SUBSCRIBED | | | | AMOUNT PAID (PhP) | TAX IDENTIFICATION NUMBER |
|--|-------------------|------------------|-------------------------|----------------|-------------------------|---------------------------|
| | TYPE | NUMBER | AMOUNT (PhP) | % OF OWNERSHIP | | |
| 17. AMERICAN WIRE & CABLE CO., INC. <i>Filipino</i> KM. 16 South Superhighway, Parañaque, Metro Manila | Common | 200,000 | 200,000.00 | 0.00% | 200,000.00 | 000-409-854-000 |
| | | | | | | |
| | TOTAL | 200,000 | 200,000.00 | | | |
| 18. RAMON C. GARCIA | Common | 100,000 | 100,000.00 | 0.00% | 100,000.00 | |
| | | | | | | |
| | TOTAL | 100,000 | 100,000.00 | | | |
| 19. RUPESH S. NARVEKAR | Common | 100,000 | 100,000.00 | 0.00% | 100,000.00 | |
| | | | | | | |
| | TOTAL | 100,000 | 100,000.00 | | | |
| 20. SANG WON LEE | Common | 52,500 | 52,500.00 | 0.00% | 52,500.00 | |
| | | | | | | |
| | TOTAL | 52,500 | 52,500.00 | | | |
| 21. OTHERS (838 stockholders) <i>Filipino</i> | Common | 1,147,632 | 1,147,632.00 | 0.01% | 1,147,632.00 | |
| | | | | | | |
| | TOTAL | 1,147,632 | 1,147,632.00 | | | |
| ===== Nothing follows ===== | | | | | | |
| | | | | | | |
| | | | | | | |
| TOTAL AMOUNT OF SUBSCRIBED CAPITAL | | | 9,810,539,879.00 | 100% | 9,810,539,879.00 | |
| TOTAL AMOUNT OF PAID-UP CAPITAL | | | | | | |

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

AMENDED GENERAL INFORMATION SHEET
STOCK CORPORATION

| ===== PLEASE PRINT LEGIBLY ===== | | | |
|--|---|--|---------------------------|
| CORPORATE NAME: | | ALLIANCE GLOBAL GROUP, INC. | |
| 1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION | AMOUNT (PhP) | DATE OF BOARD RESOLUTION | |
| 1.1 STOCKS | 6,488,143,627.00 | N.A. | |
| 1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations) | 12,461,767,449.00 | N.A. | |
| 1.3 LOANS/ CREDITS/ ADVANCES | | | |
| 1.4 GOVERNMENT TREASURY BILLS | | | |
| 1.5 OTHERS | | | |
| 2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:) | DATE OF BOARD RESOLUTION | DATE OF STOCKHOLDERS RATIFICATION | |
| N/A | N/A | N/A | |
| 3. TREASURY SHARES | NO. OF SHARES | % AS TO THE TOTAL NO. OF SHARES ISSUED | |
| N/A | 459,288,100 | 0.04 | |
| 4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR: P130,245,674,104 | | | |
| 5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR: | | | |
| TYPE OF DIVIDEND | AMOUNT (PhP) | DATE DECLARED | |
| 5.1 CASH | | | |
| 5.2 STOCK | | | |
| 5.3 PROPERTY | | | |
| TOTAL | P | | |
| 6. ADDITIONAL SHARES ISSUED DURING THE PERIOD: | | | |
| DATE | NO. OF SHARES | AMOUNT | |
| N/A | N/A | N/A | |
| | | | |
| | | | |
| SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY: | | | |
| NAME OF AGENCY: | SEC | B S P | I C |
| TYPE OF LICENSE/REGN. | Certificate of Permit to Offer Securities for Sale (3,600,000,000 shares) | N/A | N/A |
| DATE ISSUED: | 06 June 2007 | N/A | N/A |
| DATE STARTED OPERATIONS: | 06 June 2007 | N/A | N/A |
| TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP) | TOTAL NO. OF OFFICERS | TOTAL NO. OF RANK & FILE EMPLOYEES | TOTAL MANPOWER COMPLEMENT |
| PhP525,000.00 | 8 | 28,879 | 50,179 |

NOTE: USE ADDITIONAL SHEET IF NECESSARY

